

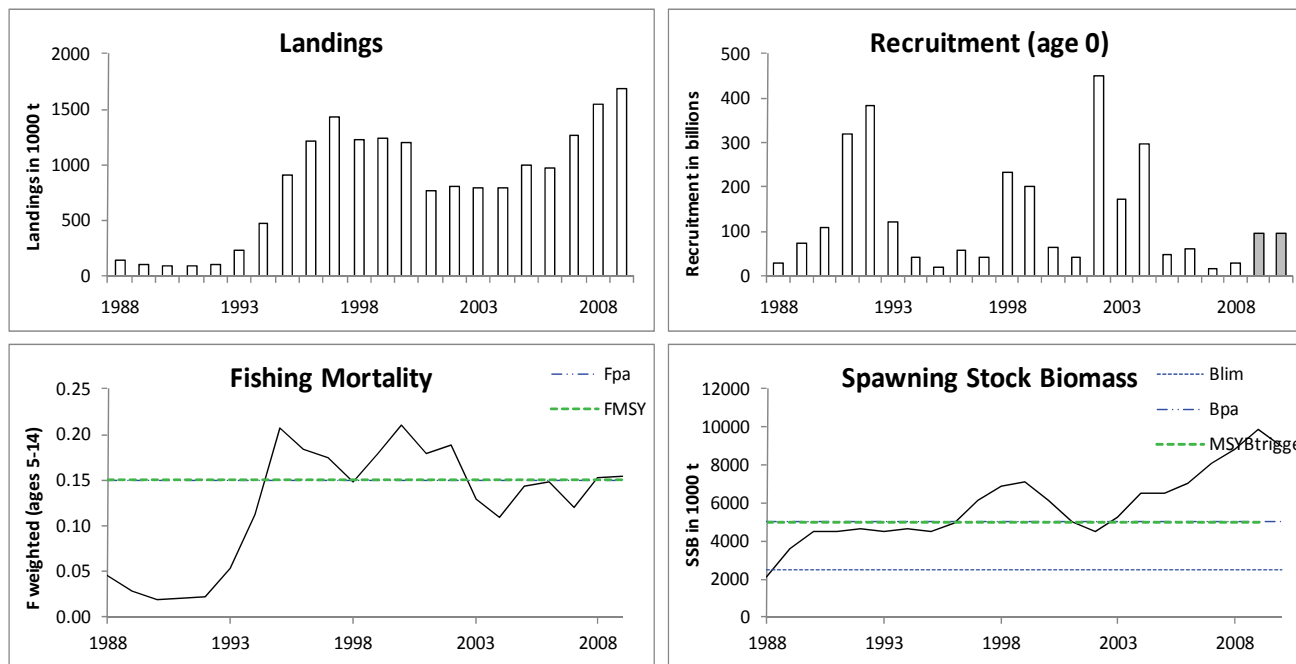
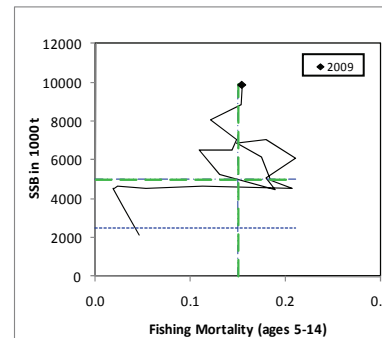
**ECOREGION** Widely Distributed and Migratory Stocks  
**STOCK** Herring in the Northeast Atlantic (Norwegian spring-spawning herring)

**Advice for 2011**

Management Objective (s)	Landings in 2011
<b>MSY approach</b> with caution at low stock size	Less than 1.17 million tonnes
Cautiously avoid impaired recruitment <b>(Precautionary Approach)</b>	Less than 1.17 million tonnes
Cautiously avoid impaired recruitment and achieve other objective(s) of a <b>management plan</b> (e.g., catch stability)	Less than 0.988 million tonnes

**Stock status**

Fishing mortality	2007	2008	2009
$F_{MSY}$	below	at $F_{MSY}$	at $F_{MSY}$
$F_{PA}/F_{lim}$	below	at $F_{pa}$	at $F_{pa}$
<b>Spawning Stock Biomass (SSB)</b>	2008	2009	2010
$MSY B_{trigger}$	above	above	above
$B_{PA}/B_{lim}$	above	above	above



**Figure 9.4.5.1** Herring in the Northeast Atlantic (Norwegian spring-spawning herring). Summary of stock assessment (grey bars in recruitment plots are assessment estimates replaced by GM 1988-2006). Top right: SSB and F over the years.

SSB in 2010 is well above  $B_{pa}$ . The stock development shows a number of good year classes: in the last 12 years, five large year classes have recruited into the stock (1998, 1999, 2002, 2003 and 2004). However, the available information indicates that year classes produced after 2004 have been small. Fishing mortality in 2008 and 2009 is estimated to be at  $F_{pa}$  ( $=F_{MSY}$ ).

**Management plans**

A long term management plan has been agreed by the EU, Faroe Islands, Iceland, Norway, and Russia in 1999 (Appendix 9.4.5.1). ICES has evaluated the plan and concludes that it is in accordance with the precautionary approach.

## Biology

Norwegian spring-spawning herring is a widely migrating stock. The feeding grounds of the adults are in the Norwegian Sea. Spawning takes place in late winter and early spring along the Norwegian coast. In general, most of the juveniles grow up in the Barents Sea and move to the Norwegian Sea when they mature.

### Environmental influence on the stock

Norwegian spring spawning herring migrations have been linked to changes in climate and in zooplankton distribution. During 1995-2005, a weak relationship existed between zooplankton biomass in May and herring condition in the autumn. The average biomass of zooplankton in the Norwegian Sea in May has been decreasing since 2002 and, measurements of herring fat content from the 2010 summer fishery show much lower values than in recent years.

### The fisheries

In general, the fishery follows the migration of the stock as it moves from the wintering and spawning grounds along the Norwegian coast to the summer feeding grounds in the Faroese, Icelandic, Jan Mayen, Svalbard, and international areas.

**Catch by fleet** Total catch (2009) 1687 kt where 100 % are landings (mainly purse seiners and pelagic trawls)

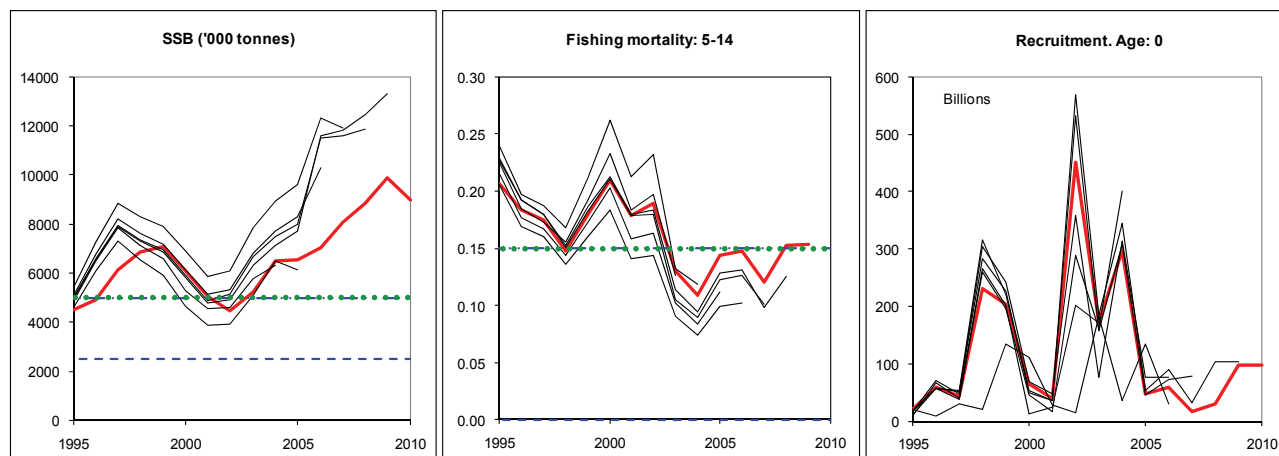
### Effects of the fisheries on the ecosystem

Little information is available on the impact of the herring fishery on the ecosystem. The fishery is entirely pelagic. There is little quantitative information on the bycatches in the fisheries for herring, but these are thought to be small.

### Quality considerations

The results from the assessment are strongly influenced by the estimates from the International Ecosystem Survey in the Nordic Seas. They seem to be related to a year effect in the 2010 survey abundance, where all year classes are lower when compared to previous years. While some decline was expected, the magnitude was larger than anticipated. It is unclear if the extent of the decline in the survey is partly related to a survey measurement effect or entirely due to a decline in stock abundance.

Estimates of recruiting year classes are also uncertain. However, it is likely that there are no large year classes since 2004. Recruitment estimates do not have a large influence on the forecasted yields and SSBs in the short term forecasts.



**Figure 9.4.5.2** Herring in the Northeast Atlantic (Norwegian spring-spawning herring). Historical assessment results (final year recruitment estimates included).

### Scientific basis

<b>Assessment type</b>	Age based analytical (TASACS)
<b>Input data</b>	Assessment period 1988-2009. Fishery independent data: 8 survey indices of which 3 have not been continued in recent years (active surveys are: 1 larvae survey, 3 recruit surveys and 1 survey covering the adult stock). Catch at age data. New maturity data based on biological information,
<b>Discards and by-catch</b>	Not data available
<b>Indicators</b>	n.a.
<b>Other information</b>	This stocks was benchmarked in 2008
<b>Working group report</b>	WG WIDE 2010

**ECOREGION**      **Widely Distributed and Migratory Stocks**  
**STOCK**            **Herring in the Northeast Atlantic (Norwegian spring-spawning herring)**

**Reference points**

	<i>Type</i>	<i>Value</i>	<i>Technical basis</i>
MSY Approach	MSY $B_{\text{trigger}}$	5.0 million t	$B_{\text{pa}}$
	$F_{\text{MSY}}$	0.15	$F_{\text{MSY}}$ using a Beverton & Holt S/R relationship with data from 1950 to 2009
Precautionary Approach	$B_{\text{lim}}$	2.5 million t	MBAL (accepted in 1998)
	$B_{\text{pa}}$	5.0 million t	$B_{\text{lim}} * \exp(0.4 * 1.645)$
	$F_{\text{lim}}$	not defined	-
	$F_{\text{pa}}$	0.15	Based on medium-term simulations

(unchanged since: 2010)

*Yield and spawning biomass per Recruit F-reference points (2010):*

	<b>Fish Mort</b>	<b>Yield/R</b>	<b>SSB/R</b>
	<b>Ages 5-14</b>		
Average last 3 years	0.14		
$F_{\text{max}}^{[*]}$			
$F_{0.1}$	0.23	0.01	0.05

[\*]  $F_{\text{max}}$  not well defined

**Outlook for 2011**

Basis:  $F_w(2010)^1 = 0.159$  ; SSB (2011) = 7.99 million t; Recruitment = 97.2 billions; Landings (2010) = 1483 thousand tonnes (=TAC 2010).

<b>Rationale</b>	<b>Landings (2011)</b>	<b>Basis</b>	<b>F(2011)</b>	<b>SSB(2012)</b>	<b>% SSB change <sup>2)</sup></b>	<b>% TAC change <sup>3)</sup></b>
MSY	1.17	$F_{\text{MSY}}$	0.15	6.60	-17%	-21%
MSY transition	1.17	$F_{\text{pa}}$	0.15	6.60	-17%	-21%
Precautionary Approach	1.17	$F_{\text{pa}}$	0.15	6.60	-17%	-21%
Agreed management plan	0.988	F management plan	0.125	6.77	-15%	-33%
Zero catch	0	F=0	0.00	7.66	-4%	-100%
<i>Status quo</i>	1.20	$F_{2009}$	0.154	6.57	-18%	-19%
Management plan options	0.260	$F_{\text{management}} * 0.25$	0.031	7.42	-7%	-82%
	0.517	$F_{\text{management}} * 0.5$	0.063	7.19	-10%	-65%
	0.988	$F_{\text{management}} * 1.0$	0.125	6.77	-15%	-33%
	1.09	$F_{\text{management}} * 1.1$	0.138	6.68	-16%	-27%
	1.22	$F_{\text{management}} * 1.25$	0.156	6.56	-18%	-18%

Landings and stock biomass weights in million tonnes.

<sup>1)</sup>  $F_w$  = Fishing mortality weighted by population numbers (age groups 5–14).

<sup>2)</sup> SSB 2012 relative to SSB 2011

<sup>3)</sup> Catch/landings 2011 relative to TAC 2010.

**MSY approach**

Following the ICES MSY framework implies that fishing mortality be reduced to 0.15, resulting in landings of 1.17 million tonnes in 2011. This is expected to lead to an SSB of 6.60 million tonnes in 2012.

Fishing mortality is at  $F_{\text{MSY}}$ , therefore the transition scheme towards the ICES MSY framework is not appropriate.

## ***PA approach***

The fishing mortality in 2011 should be no more than  $F_{pa}$  corresponding to landings of less than 1.17 million tonnes in 2011. This is expected to maintain SSB above  $B_{pa}$  in 2012.

## ***Management plan***

Following the long term management plan agreed by EU, Faroe Islands, Iceland, Norway, and Russia implies a TAC of 0.988 million tonnes in 2011. This is expected to lead to an SSB of 6.77 million tonnes in 2012.

## ***Policy Paper***

In light of the EU policy paper on fisheries management (17 May 2010, [COM\(2010\) 241](#)) this stock is classified as category 4.

## **Additional considerations**

### *Ecosystem considerations*

Herring in the Northeast Atlantic is a straddling stock. Juveniles and adults of this stock form an important part of the ecosystem in the Barents Sea, the Norwegian Sea, and the Norwegian coast. Herring is an important food resource for higher trophic level predators (e.g. large fish, seabirds, and marine mammals), and a consumer of zooplankton in the Norwegian Sea and capelin larvae in the Barents Sea.

### *Management considerations*

The current target fishing mortality of 0.125 is in the range of fishing mortalities (0.1-0.2) that would lead to MSY and a low risk of the stock falling below  $B_{lim}$ . The estimate of  $F_{MSY}$  is 0.15. The existing management plan is hence in conformity with the ICES MSY framework. Although the current target  $F$  may be on the low side of this range, there is no significant long term gain in yield by increasing the current target.

In the absence of strong year classes after 2004, the stock has declined in 2010 and is expected to decline in the near future even when fishing according to the management plan. This is a normal behaviour of stocks which show spasmodic recruitment dynamics. The decline of the stock will also affect the projected catches. The short term prognosis indicate a decline of the stock from 9 million tonnes in 2010 to 8.0 million tonnes in 2011 assuming exploitation in 2010 is according to the management plan.

Catches in recent years, have implied a low fishing mortality. However, fishing mortality is now estimated to have been higher than the agreed target in the management plan because of the change in the estimated fishing mortality in this year's assessment. In recent years, the distribution area of mackerel has expanded to the north and west and overlaps the distribution area of the herring in summer. As a consequence, mackerel have also been taken in this fishery.

In the past decade, the migration behaviour of the stock has changed significantly, particularly in geographical locations of the wintering and feeding areas. These, in turn, have affected the distribution of the fisheries.

### *Data and methods*

The present assessment is an updated assessment, using the models, configurations and procedures agreed at the benchmark held in 2008.

While discarding of this stock is considered to be low, slippage is known to occur. The amount of slippage is unquantified and thus cannot be accounted in the assessment.

The International Ecosystem Survey in the Nordic Seas in May is the most important survey in the assessment and is expected to remain the main basis for future assessments. It is important that this survey be maintained and that the vessels participating in the survey have access to the survey grounds. It is essential to maintain good geographical survey coverage to avoid increases in assessment uncertainty and to maintain the integrity of the assessment.

### *Revisions in data and methodologies*

In the 2010 assessment, new maturity at age information was used for the whole time series. The new time series is based on biological information reviewed by WKHERMAT (ICES, 2010a). This revision of the maturity ogive had been identified by the benchmark in 2008.

### *Uncertainties in assessment and forecast*

The revision and inclusion of biological information in the maturity data matrix are considered to have improved the maturity estimates and thereby the SSB values estimated by the assessment. The revision of the maturity at age matrix does not affect the estimates of total stock size, recruitment and fishing mortality or the prediction of yield in the forecasts.

The most influential survey (International Ecosystem Survey in the Nordic Seas) used in the assessment, estimates the stock at 5.8 million tonnes in 2010 compared to 10.4 million tonnes in 2009. The abundance indices in 2010 declined for all year classes. The reduction is particularly noticeable for year classes produced before 2005 which decreased by about 40-70%. Such large reductions in stock estimates have not been observed in previous years in this survey. The low index in 2010 resulted in lower SSB estimates in recent years compared to previous assessments.

There is no clear explanation for the sharp decrease in the stock estimates of this survey. The survey in 2010 has been carried without any problems and covered all areas planned in the Norwegian Sea and Barents Sea. Several hypotheses for the discrepancy were discussed at the meeting of WGNAPES (ICES, 2010b) and WGWIDE (ICES, 2010c) including: (1) that the distribution area was not fully covered; (2) that the herring had a different behaviour in 2010; (3) a mass mortality had taken place since last year's survey; (4) that there could have been significant unreported catches.

In the past, the herring stock has shown changes in its migration pattern. In the last decade, older herring tend to migrate to more western feeding grounds in summer. In principle, it is possible that part of the stock had migrated outside the area covered during the May survey. The survey coverage was comparable to recent years and there were zero values in the peripheries of the survey area. In July/August 2010, another survey (International Ecosystem Survey in the Norwegian Sea in July-August) was carried out in the Norwegian Sea and adjoining waters. The survey was carried out for the 2<sup>nd</sup> time in 2010 and does not provide a time series yet. The survey area in 2010 was extended in order to cover all areas where herring might occur including areas that were not covered by the May survey. Most of the herring observed were on the expected grounds and the total acoustic herring biomass was estimated at 10.7 million tonnes. This value is smaller when compared to 13.6 million tonnes observed in 2009 obtained in a smaller area. The age distribution of the survey catches in 2010 was similar in both surveys, although slightly more 2002- and older year classes were found in the July/August survey compared to the May survey. Thus, the observed decline in the July/August survey is in agreement with the observations of the May survey. Additionally, a larvae survey carried out during spawning time, produced a lower index, suggesting a lower spawning stock.

The lower abundance index in 2010 could possibly be explained by a reduced catchability to the acoustic survey gear caused by changes in the behaviour of herring. It was reported that during both surveys most of the herring were dispersed in the top layer in the water column and that little schools were observed. This behaviour may be related to the feeding conditions and the temperature. Estimation of dispersed herring high in the water column by acoustic methods is more problematic than when they appear in schools at greater depths. This hypothesis should be further investigated.

There is no indication that natural mortality has been higher than in other years. Natural mortality may increase, for instance because of infection caused by *Ichthyophonus* as recently observed in Icelandic summer spawning herring or increased predation by large predators. However, during the surveys there were no indications of high prevalence of *Ichthyophonus* and it is unlikely that whales and other predators consumed more than 3 million tonnes more than in other years.

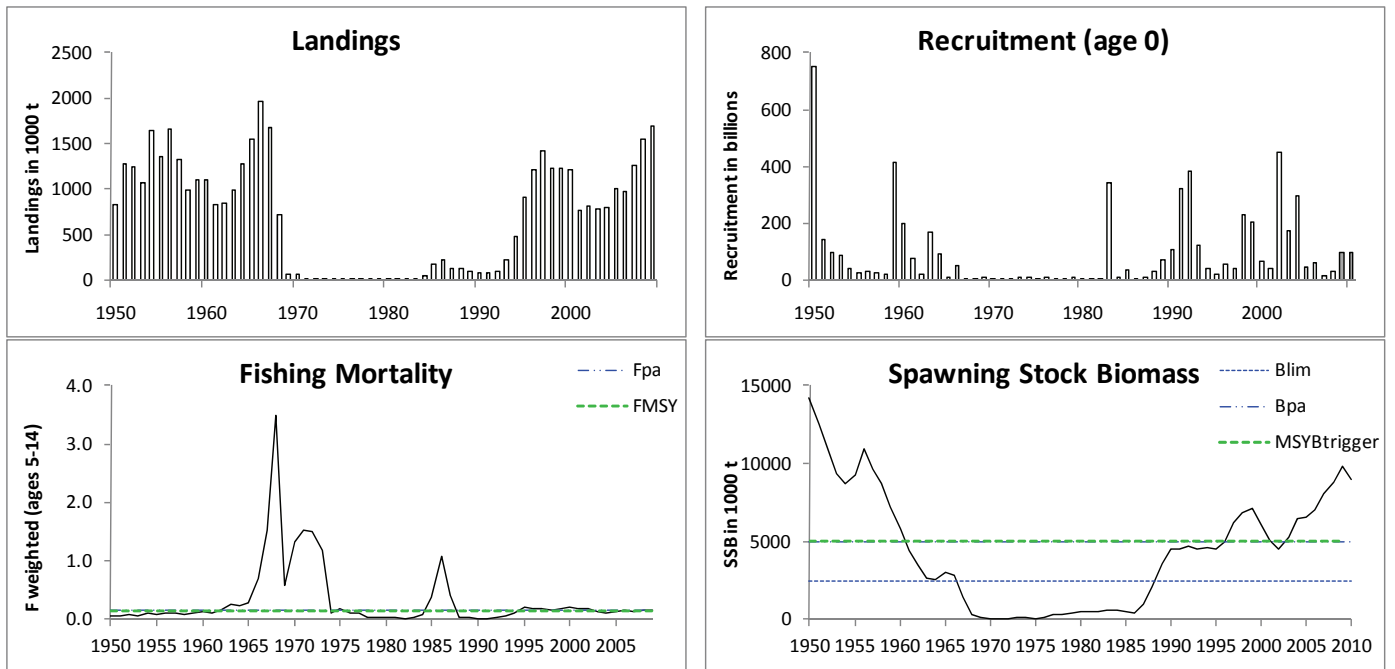
The catch in 2009 was 1.69 million tonnes and there are no indications that higher catches have been taken that can explain the much larger reduction in the stock as suggested by the survey.

### *Comparison with previous assessment*

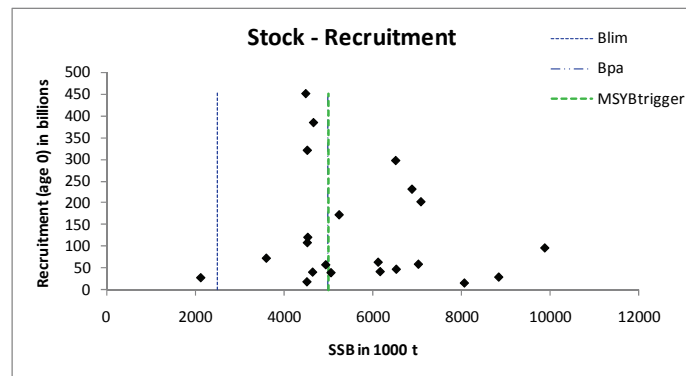
This year's assessment resulted in a 26% decrease in the estimate of the 2009 SSB, which mainly resulted from the low survey abundance indices this year, as discussed above. The estimate of F in 2008 increased approximately by 22%.

### **Sources**

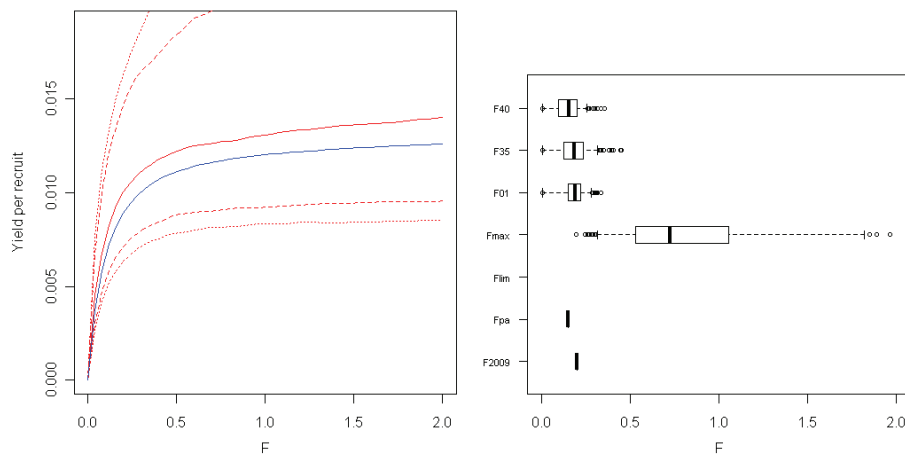
- ICES 2010a. Report of the Workshop on estimation of maturity ogive in Norwegian spring spawning herring (WKHERMAT). 1-3 March 2010 Bergen, Norway. ICES CM 2010/ACOM:51
- ICES. 2010b. Report of the Working Group on Northeast Atlantic Pelagic Ecosystem Surveys (WGNAPES), 17-20 August 2010, Hamburg, Germany. ICES CM 2010/SSGESST:20. 96 pp.
- ICES. 2010c. Report of the Working Group on Widely Distributed Stocks (WGWIDE), 28 August -3 September 2010, Vigo, Spain. ICES CM 2010/ACOM:12.



**Figure 9.4.5.3** Herring in the Northeast Atlantic (Norwegian spring-spawning herring). Historical perspective of the stock. Data from 1950 – 1987 are from a previous assessment model that is no longer used. Data from 1988- 2009 is from this year’s assessment. Note that the SSB data prior to 1988 do not include the correction of the maturity ogive performed this year.



**Figure 9.4.5.4** Herring in the Northeast Atlantic (Norwegian spring-spawning herring). Stock–recruit relationship for 1988-2009.



**Figure 9.4.5.5** Herring in the Northeast Atlantic (Norwegian spring-spawning herring). Yield-per-recruit (YPR) curve (blue line is median (50th percentile) and red lines 25-75th and 5th-95th percentiles) (left panel) and resulting stochastic estimates of  $F$  reference points (right panel).

**Table 9.4.5.1** Herring in the Northeast Atlantic (Norwegian spring-spawning herring). ICES advice, management and catches.

Year	ICES Advice	Predicted catch corresp. to advice	Agreed TAC	ICES Catch
1987	TAC	150	115	127
1988	TAC	120–150	120	135
1989	TAC	100	100	104
1990	TAC	80	80	86
1991	No fishing from a biological point of view	0	76	85
1992	No fishing from a biological point of view	0	98	104
1993	No increase in F	119	200	232
1994	Gradual increase in F towards $F_{0.1}$ ; TAC suggested	334	450	479
1995	No increase in F	513	None <sup>1</sup>	906
1996	Keep SSB above 2.5 million t	-	None <sup>2</sup>	1 220 <sup>4</sup>
1997	Keep SSB above 2.5 million t	-	1 500	1 427 <sup>4</sup>
1998	Do not exceed the harvest control rule	-	1 300	1 223
1999	Do not exceed the harvest control rule	1 263	1 300	1 235
2000	Do not exceed the harvest control rule	Max 1 500	1 250	1 207
2001	Do not exceed the harvest control rule	753	850	766 <sup>4</sup>
2002	Do not exceed the harvest control rule	853	850	808 <sup>4</sup>
2003	Do not exceed the harvest control rule	710	711 <sup>3</sup>	790 <sup>4</sup>
2004	Do not exceed the harvest control rule	825	825 <sup>3</sup>	794
2005	Do not exceed the harvest control rule	890	1 000 <sup>3</sup>	1 003
2006	Do not exceed the harvest control rule	732	967 <sup>3</sup>	969
2007	Do not exceed the harvest control rule	1 280	1 280	1267
2008	Do not exceed the harvest control rule	1 518	1518	1546
2009	Do not exceed the harvest control rule	1 643	1 642	1687
2010	Do not exceed the harvest control rule	1 483	1 483	
2011	See scenarios	-		

Weights in '000 t.

<sup>1</sup>Autonomous TACs totaling 900 000 t.

<sup>2</sup>Autonomous TACs totaling 1 425 000 t were set by April 1996.

<sup>3</sup>There was no agreement on the TAC, the number is the sum of autonomous quotas from the individual Parties.

<sup>4</sup>Revised in 2010

**Table 9.4.5.2**

Herring in the Northeast Atlantic (Norwegian spring-spawning herring). Total catch (tonnes) since 1972. Data provided by Working Group members.

YEAR	NORWAY	USSR/ RUSSIA	DENMARK	FAROEES	ICELAND	IRELAND	NETHERLANDS	GREENLAND	UK (SCOTLAND)	GERMANY	FRANCE	POLAND	SWEDEN	TOTAL
1972	13161	-	-	-	-	-	-	-	-	-	-	-	-	13161
1973	7017	-	-	-	-	-	-	-	-	-	-	-	-	7017
1974	7619	-	-	-	-	-	-	-	-	-	-	-	-	7619
1975	13713	-	-	-	-	-	-	-	-	-	-	-	-	13713
1976	10436	-	-	-	-	-	-	-	-	-	-	-	-	10436
1977	22706	-	-	-	-	-	-	-	-	-	-	-	-	22706
1978	19824	-	-	-	-	-	-	-	-	-	-	-	-	19824
1979	12864	-	-	-	-	-	-	-	-	-	-	-	-	12864
1980	18577	-	-	-	-	-	-	-	-	-	-	-	-	18577
1981	13736	-	-	-	-	-	-	-	-	-	-	-	-	13736
1982	16655	-	-	-	-	-	-	-	-	-	-	-	-	16655
1983	23054	-	-	-	-	-	-	-	-	-	-	-	-	23054
1984	53532	-	-	-	-	-	-	-	-	-	-	-	-	53532
1985	167272	2600	-	-	-	-	-	-	-	-	-	-	-	169872
1986	199256	26000	-	-	-	-	-	-	-	-	-	-	-	225256
1987	108417	18889	-	-	-	-	-	-	-	-	-	-	-	127306
1988	115076	20225	-	-	-	-	-	-	-	-	-	-	-	135301
1989	88707	15123	-	-	-	-	-	-	-	-	-	-	-	103830
1990	74604	11807	-	-	-	-	-	-	-	-	-	-	-	86411
1991	73683	11000	-	-	-	-	-	-	-	-	-	-	-	84683
1992	91111	13337	-	-	-	-	-	-	-	-	-	-	-	104448
1993	199771	32645	-	-	-	-	-	-	-	-	-	-	-	232457
1994	380771	74400	-	2911	21146	-	-	-	-	-	-	-	-	479228
1995	529838	101987	30577	57084	174109	-	7969	2500	881	556	-	-	-	905501
1996	699161	119290	60681	52788	164957	19541	19664	-	46131	11978	-	-	22424	1220283
1997	860963	168900	44292	59987	220154	11179	8694	-	25149	6190	1500	-	19499	1426507
1998	743925	124049	35519	68136	197789	2437	12827	-	15971	7003	605	-	14863	1223131
1999	740640	157328	37010	55527	203381	2412	5871	-	19207	-	-	-	14057	1235433
2000	713500	163261	34968	68625	186035	8939	-	-	14096	3298	-	-	14749	1207201
2001	495036	109054	24038	34170	77693	6070	6439	-	12230	1588	-	-	9818	766136
2002	487233	113763	18998	32302	127197	1699	9392	-	3482	3017	-	1226	9486	807795
2003*	477573	122846	14144	27943	117910	1400	8678	-	9214	3371	-	-	6431	789510
2004	477076	115876	23111	42771	102787	11	17369	-	1869	4810	400	-	7986	794066
2005**	580804	132099	28368	65071	156467	-	21517	-	-	17676	0	561	680	1003243
2006***	567237	120836	18449	63137	157474	4693	11625	-	12523	9958	80	-	2946	968958
2007	779089	162434	22911	64251	173621	6411	29764	4897	13244	6038	0	4333	0	1266993
2008	961603	193119	31128	74261	217602	7903	28155	3810	19737	8338	0	0	0	1545656
2009	1016675	210105	32320	85098	265479	10014	24021	3730	25477	14452	0	0	0	1687371

\*In 2003 the Norwegian catches were raised of 39433 to account for changes in percentages of water content.

\*\*Preliminary, as provided by Working Group members.

\*\*\*Scotland and Northern Ireland combined.



**Table 9.4.5.3** Herring in the Northeast Atlantic (Norwegian spring-spawning herring). Summary of the stock assessment.

	recruitment age 0 in year	total biomass	spawning stock biomass	landings	weighted F
year	billions	million tons	million tons	thous. tons	5-14
1988	28.985	3.921	2.115	135	0.046
1989	73.561	4.783	3.594	104	0.029
1990	109.168	5.445	4.519	86	0.019
1991	320.794	6.096	4.518	85	0.020
1992	384.383	7.203	4.657	104	0.023
1993	121.504	8.246	4.528	232	0.053
1994	41.672	9.323	4.638	479	0.113
1995	19.595	10.084	4.509	906	0.207
1996	58.549	10.118	4.934	1220	0.184
1997	42.855	9.977	6.161	1427	0.175
1998	231.808	8.805	6.877	1223	0.148
1999	202.951	9.812	7.079	1235	0.180
2000	64.439	9.462	6.115	1207	0.210
2001	40.457	7.941	5.052	766	0.179
2002	450.764	8.286	4.483	808	0.189
2003	173.095	10.186	5.235	790	0.130
2004	297.365	12.480	6.511	794	0.109
2005	48.295	12.811	6.524	1003	0.144
2006	59.917	13.887	7.022	969	0.148
2007	16.716	13.199	8.059	1267	0.121
2008	30.296	12.992	8.833	1546	0.153
2009*	97.200	12.106	9.871	1687	0.154
2010*	97.200	10.286	8.967		

\*2009 recruitment replace by GM 1988-2006

\* 2010 recruitment replace by GM 1988-2006

## Appendix 9.4.5

The EU, Faroe Islands, Iceland, Norway, and Russia agreed in 1999 on a long-term management plan. This plan consists of the following elements:

1. *Every effort shall be made to maintain a level of Spawning Stock Biomass (SSB) greater than the critical level ( $B_{lim}$ ) of 2 500 000 t.*
2. *For the year 2001 and subsequent years, the Parties agreed to restrict their fishing on the basis of a TAC consistent with a fishing mortality rate of less than 0.125 for appropriate age groups as defined by ICES, unless future scientific advice requires modification of this fishing mortality rate.*
3. *Should the SSB fall below a reference point of 5 000 000 t ( $B_{pa}$ ), the fishing mortality rate referred to under paragraph 2, shall be adapted in the light of scientific estimates of the conditions to ensure a safe and rapid recovery of the SSB to a level in excess of 5 000 000 t. The basis for such an adaptation should be at least a linear reduction in the fishing mortality rate from 0.125 at  $B_{pa}$  (5 000 000 t) to 0.05 at  $B_{lim}$  (2 500 000 t).*
4. *The Parties shall, as appropriate, review and revise these management measures and strategies on the basis of any new advice provided by ICES.*